# NSAI Certification UK

# Complaints & APPEALS Policy

**How NSAI Certification UK deals with your complaint and/or appeal**

**Revision 1 – August 2024**

# Our Commitment[[1]](#footnote-2)

Guided by our organisational values we are fully committed to providing our customers with an efficient, timely, professional and courteous service.

We promise we will deal with your complaint and/or appeal in a thorough, fair, impartial and timely manner. If we have made a mistake we will apologise and do our best to put things right.

Our values:

* We recognise the importance, and encourage the continuous honing, of our technical **expertise** to ensure we are always abreast of developments
* We ensure a consistent and positive approach in our work through the **dedication** and enthusiasm of our staff
* We protect our **impartiality** which guarantees no predisposition in our work or in our dealings with business and public alike
* We respect our customers and demonstrate an **engaging** and respectful approach always
* We see **pride in our work** as a byword for excellence with each of us responsible for the highest of standards
* We value **thoroughness** in all our work which has to stand the test of time and take into account all known variables

# Issues covered by this Policy

If, for any reason, you are not satisfied with any aspect of the quality of our services or you believe the services we provided or that were provided on our behalf fall short of what you expected, or if you believe you have been treated unfairly or have been disadvantaged personally by a service failure, you have a right to make a complaint under this Policy.

For example, if you are dissatisfied with:

* The manner in which you were treated;
* Incorrect information or guidance provided by us;
* Difficulties in contacting us; or
* Responses to emails/letters have not being issued within accepted timeframes.

Thos Policy also covers decisions taken as part of our operations. For example, applicants who have been refused approvals, certification or verification, or have approval or certification withdrawn or suspended may appeal those decisions by invoking appeal mechanisms in this Policy.

# Issues not covered by this Policy

We welcome information on your concerns about a certified or verified product, premises, behaviour of a certification client or trader, our logos or marks and any other matter relating to our certification schemes or the legislation we enforce. This information will be treated in confidence and investigated as part of our operations.

Only where the information about our activities relates to the quality of our service delivery will it treated as a complaint covered by this Policy.

# How to Complain/APPEAL

You can make an informal or formal complaint or formal appeal depending on the nature of your grievance. All complaints and appeals are dealt with in confidence.

We believe it is best to deal with things as soon as possible and at the earliest and in the most direct way. If you have a complaint or appeal, you can raise it by email to certificationuk@nsai.uk.

### Overview of our Complaints/Appeals Handling Procedure

|  |  |
| --- | --- |
| **Informal Resolution** | **Formal** |
| Generally verbal but can be in writing | Generally written but can be verbal |
| Dealt with by frontline customer contact staff | Dealt with by a senior staff member in the area |
| Can be resolved easily, and more often immediately or within 2 working days | Needs further investigation by a senior staff member or external person |
| Less serious impact on complainant/appellant | Serious impact on complainant/appellant |
| No need for further follow up with complainant/appellant | Requires follow-up with complainant/appellant as part of investigation and/or to report outcome |

### Informal Resolution

Informal complaints are those which we can, for the most part, deal with ‘on-the-spot’ or provide a solution within a short time delay, usually no more than **2 working days**. If your complaint, although informal, is complex in nature it may take longer to respond, in which case you will be told about the delay.

Because informal complaints tend to be less serious in nature we will not usually carry out an investigation. The staff member you contact will do all they can to help you to resolve the issue, or if the matter cannot be resolved to your satisfaction, they will explain why, and you can then ask for your complaint to be formally investigated.

We value your feedback and if your complaint is about our systems or procedures we will note your views and give them consideration when we next review our processes. You can be assured that your concerns will be forwarded to the appropriate manager.

### Making a Formal Complaint/Appeal

Formal complaints and appeals are those of a more serious nature and usually require a thorough investigation. A complaint about a staff member will be treated as a formal complaint unless you specifically request that it to be treated informally.

In order to help us to provide the best service we can, it would help us greatly in dealing with your complaint if you could:

* Provide your name, address and a daytime telephone number or email address on your correspondence or when requested
* Quote relevant reference numbers when you telephone us, or on any written correspondence
* Give us as much detail and clear information as possible about your complaint stating relevant dates and times
* List your specific concerns starting with the most important
* Be clear about what you are hoping to achieve (for example an explanation, apology, etc.)
* State your preferred method of communication.

# DEALING WITH YOUR COMPLAINT/APPEAL

We will formally acknowledge your complaint/appeal, whether made in writing or not, within **5 working days** and explain how we are dealing with it.

We will establish whether you have any particular requirements we need to be aware of.

We will deal with your complaint/appeal in an open and honest way.

If you are making a complaint on behalf of someone else, we will need their agreement to you acting on their behalf.

We will make sure your interactions with us in the future do not suffer just because you have made a complaint.

### INVESTIGATION

We will tell you who we have asked to investigate your complaint/appeal. If your complaint/appeal is straightforward, it will usually be assigned to a senior staff member in the area to look into and get back to you. If the complaint/appeal is serious, we may ask a senior member of staff from the wider organisation or someone from outside of the NSAI Certification UK to investigate.

We will set out to you our understanding of your complaint/appeal and ask you to confirm that we have got it right. We will also ask you to tell us what outcome you are hoping for.

The person looking at your complaint/appeal will usually need to see the files we hold relevant to your complaint/appeal. If you don’t want this to happen it is important that you tell us.

If there is a simple solution to your problem we will ask if you are happy to accept this.

We aim to resolve concerns as quickly as possible and aim to have a full response within **30 working days**.

If your complaint/appeal is more complex we will:

* Let you know within this time why we think it may take longer to investigate
* Tell you how long we expect it to take
* Give you regular updates on any progress made

The extent of this investigation will depend on how complex and how serious the issues you have raised are. The person who is investigating your concerns will aim first to establish the facts and if needed, will draw up an investigation plan.

In some instances, we may ask to meet you to discuss your complaint/appeal. Occasionally, we might suggest mediation of another method to try to resolve your complaint/appeal.

When looking at your complaint/appeal we will look at relevant evidence. This could include files, notes of conversions, letters, emails or whatever may be relevant to your complaint/appeal. If necessary, we will talk to our staff or others involved and look at our policies and guidance.

### OUTCOME

If we formally investigate your complaint/appeal, we will let you know what we have found in keeping with your preferred form of communication.

If we find that we got it wrong, we will tell you what happened. If we find there is fault in our systems or the way we do things, we will tell you how we plan to change things to stop it happening again.

If we got it wrong we will always apologise.

### PUTTING IT RIGHT

If we didn’t do something well, we will aim to put it right. If you have lost out as a result of a mistake on our part we will try to put you back in the position you would have been in if we had got it right.

### APPEALING THIS PROCESS

If you are not satisfied with the outcome of your investigation you can apply to have the decision reviewed by a senior staff member within **10 working days** of the final decision on your complaint/appeal.

In your application you should explain the reasons you believe your complaint/appeal was not dealt with correctly or why you dispute the decision made.

The senior staff member will consider the complaint/appeal file and will inform you whether they agree with the process and outcome of the original investigation. If the senior staff member considers it appropriate a new investigation may be undertaken on your complaint/appeal.

# REviewing a Complaint/APPEAL

### LEARNING

We take complaints and appeals seriously and try to learn from mistakes. The issues leading to formal complaints and appeals are closely monitored as part of our quality management system to ensure the necessary changes can be made to our processes to prevent such issues from reoccurring.

### WHAT WE EXPECT FROM YOU

There may have been upsetting or distressing circumstances leading up to a complaint/appeal. We do not view behaviour as unacceptable just because someone is forceful or determined. We understand that in times of trouble and distress, some people may act out of character.

We believe that all complainants and appellants have a right to be heard, understood and respected. However, we also consider that our staff have the same rights. We, therefore expect you to be courteous in your dealings with us. We will not tolerate aggressive or abusive behaviour, unreasonable demands or unreasonable persistence.

**NSAI Certification UK Offices and Contact Details**

**NSAI Certification UK**

6-9 The Square, Stockley Park,

Uxbridge, UB11 1FW

**Email:** **certificationuk@nsai.uk**

**Website:** [**www.nsai.uk**](http://www.nsai.uk)

**Irish Office**

**National Standards Authority of Ireland**

1 Swift Square

Northwood

Santry, Dublin 9

D09 AOE4

**Email:** **info@nsai.ie**

**Website:** [**www.nsai.ie**](http://www.nsai.ie)

1. This Complaints Policy does not affect any statutory right of complaint or appeal applicable to your particular circumstances. [↑](#footnote-ref-2)